

★ *GrahamFest* ★

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Fermion Mass Textures:  
**From Family Symmetries to ... F-theory**

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$I\omega\alpha\nu\nu\iota\nu\alpha$

**GREECE**

**A: OXFORD 1994-1995:**

1. *Neutrino Masses From Gauge Symmetries*

H. Dreiner, G.K.L., S. Lola, **G.G.Ross**, C. Scheich

2. *Heavy Neutrino Threshold Effects in Low Energy Phenomenology*

G.K.L., S. Lola, **G.G.Ross**

★ Hierarchical structure of Fermion mass matrices



U(1) Underlying symmetry (*Ibanez & Ross 1994*)

★ Non zero Neutrino masses



MSSM is extended to include right-handed neutrinos

	$Q_i$	$u_i^c$	$d_i^c$	$L_i$	$e_i^c$	$\nu_i^c$	$H_1$	$H_2$
$\mathbf{Q}_{\mathbf{U}(1)}$ :	$\alpha_i$	$\alpha_i$	$\alpha_i$	$a_i$	$a_i$	$a_i$	$a_i$	$-2\alpha_1$

Table 1: SM representations and their  $U(1)$  charges

This generated Dirac Mass Textures organised by  
 $U(1)$ -‘family’ symmetry

$$M_{\nu^c}^{Dirac} = \begin{pmatrix} \lambda_{11} \bar{\epsilon}^{|2+6a-2b|} & \lambda_{12} \bar{\epsilon}^{3|a|} & \lambda_{13} \bar{\epsilon}^{|1+3a-b|} \\ \lambda_{21} \bar{\epsilon}^{3|a|} & \lambda_{22} \bar{\epsilon}^{|2|1-b|} & \lambda_{23} \epsilon^{|1-b|} \\ \lambda_{31} \bar{\epsilon}^{|1+3a-b|} & \lambda_{32} \epsilon^{|1-b|} & 1 \end{pmatrix} m_\tau$$

- ▲  $\bar{\epsilon} \sim \frac{\langle \theta \rangle}{M} \sim \mathcal{O}(10^{-1})$  ( $\theta = familon$ )
- ▲  $a, b$  functions of  $\alpha_i, a_i$
- ▲  $\lambda_{ij}$  : unknown  $\mathcal{O}(1)$  coefficients

### Heavy Majorana Mass Matrix

$\nu^c$ 's can get masses from terms

$$\langle \phi \rangle \nu^c \nu^c, \text{ with } \phi \sim \tilde{\nu}^c \tilde{\nu}^c$$

Structure of the resulting  $M_{\nu^c}$  depends on choice of bilinear...

A possible choice...

$$M_{\nu^c \nu^c} \sim \begin{pmatrix} \lambda_{11} \bar{\epsilon}^8 & \lambda_{12} \bar{\epsilon}^3 & \lambda_{13} \bar{\epsilon}^4 \\ \lambda_{21} \bar{\epsilon}^3 & \lambda_{22} \bar{\epsilon}^2 & \lambda_{23} \epsilon \\ \lambda_{31} \bar{\epsilon}^4 & \lambda_{32} \epsilon & 1 \end{pmatrix} M_0$$

... + ... another five discrete cases...

### Low Energy Effective neutrino matrix



$m_\nu^{eff.} \sim M_{\nu \nu^c}^D (M_{\nu^c \nu^c})^{-1} (M_{\nu \nu^c}^D)^T$

.....

⇒ Results are encouraging but depend on Unknown Coefficients

$$\lambda_{ij}^{Dirac}, \quad \lambda_{ij}^{Maj.} \sim \mathcal{O}(1) ???$$

B: CERN: 2009



*Intersection of two corridors, three floors and more...  
(F-theory: Ideal place to build Yukawa couplings...)*

## F-THEORY PHENOMENOLOGY

2010-2011:

1. *Yukawa couplings and fermion mass structure in F-theory*

*GUTs.*

G.K.L., **G.G.Ross**, JHEP 1102 (2011) 108

2. *Family symmetries in F-theory GUTs.*

S.F. King, G.K.L., **G.G.Ross**, Nucl.Phys. B838 (2010) 119-135

3. *Towards a Realistic F-theory GUT.*

J.C. Callaghan, S.F. King, G.K.L., **G.G.Ross**, arXiv:1109.1399

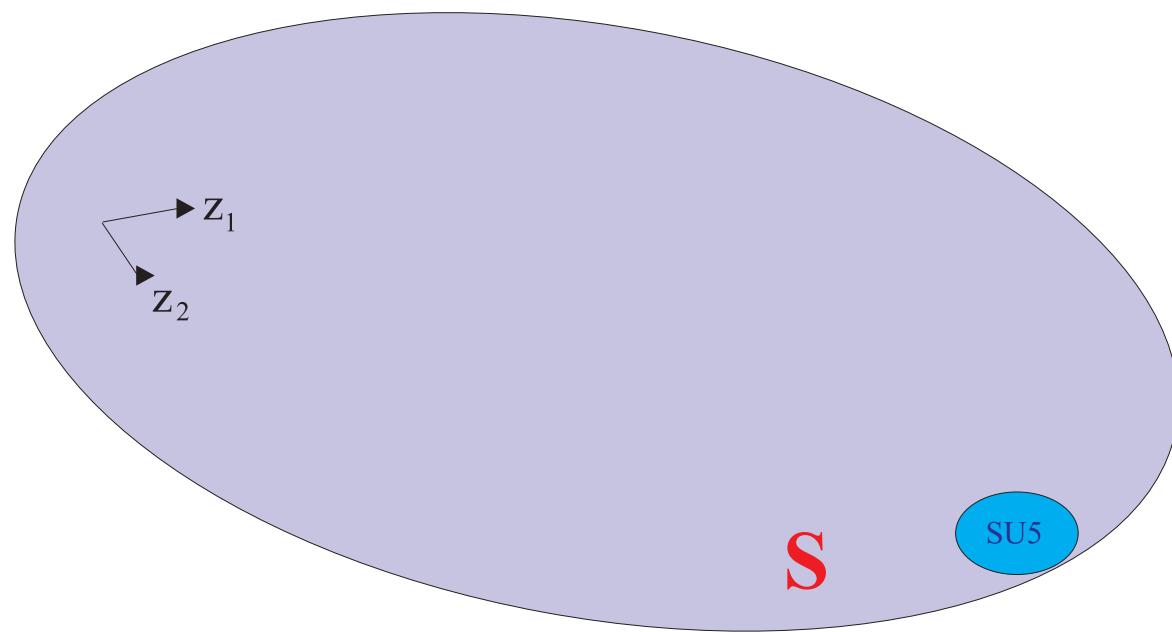
## TASKS ...

- ▲ ... show that ‘family’ symmetries naturally incorporated in  
 $\mathcal{F}$ -Theory GUTs
- ▲ ... propose a way to calculate these ‘unknown’ coefficients

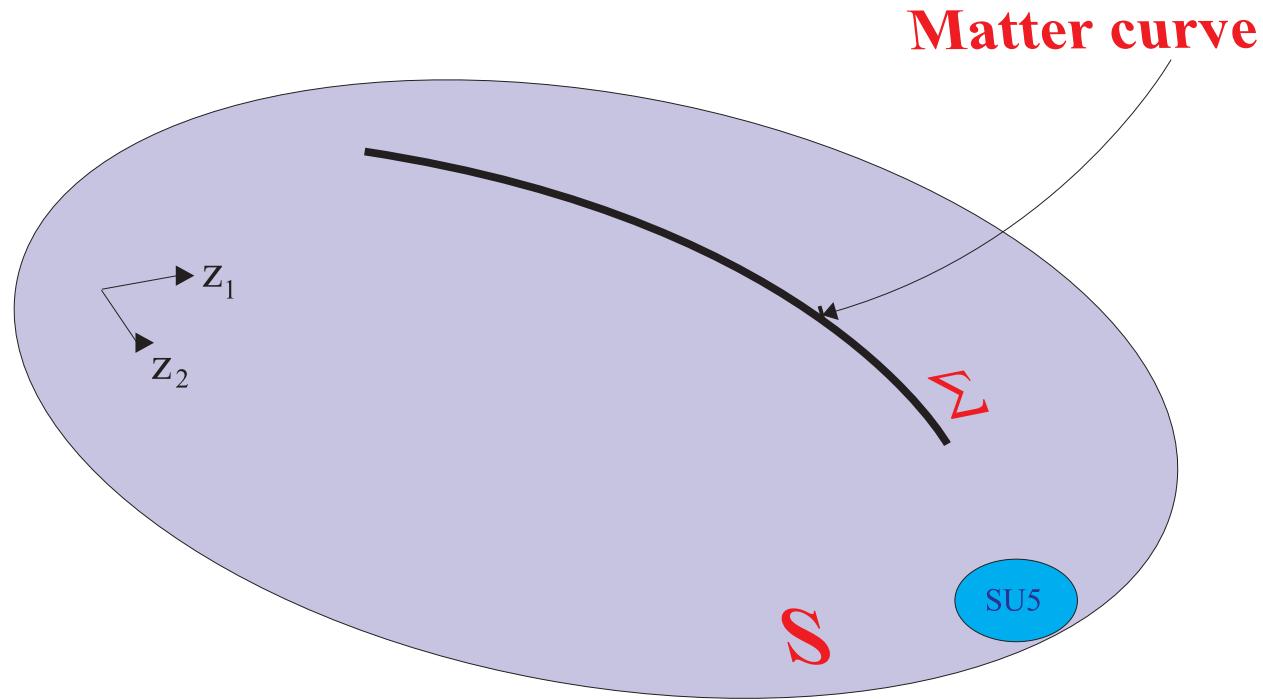
$$\lambda_{ij} \propto \int \psi_{f_i} \psi_{f_j} \phi_H$$

in **F-theory:**

7-branes wrap certain class of ‘internal’ **2-complex dim.** surface **S** associated to gauge group  **$G_S$**  (*here taken to be  $SU(5)$* )



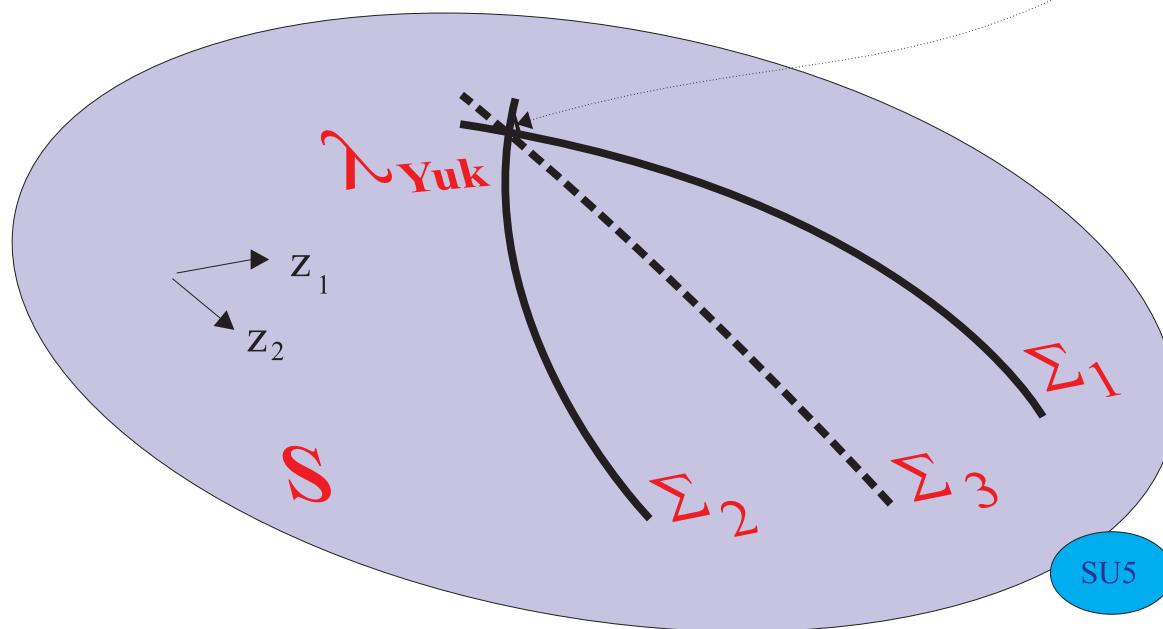
Matter resides along intersections with other 7-branes...



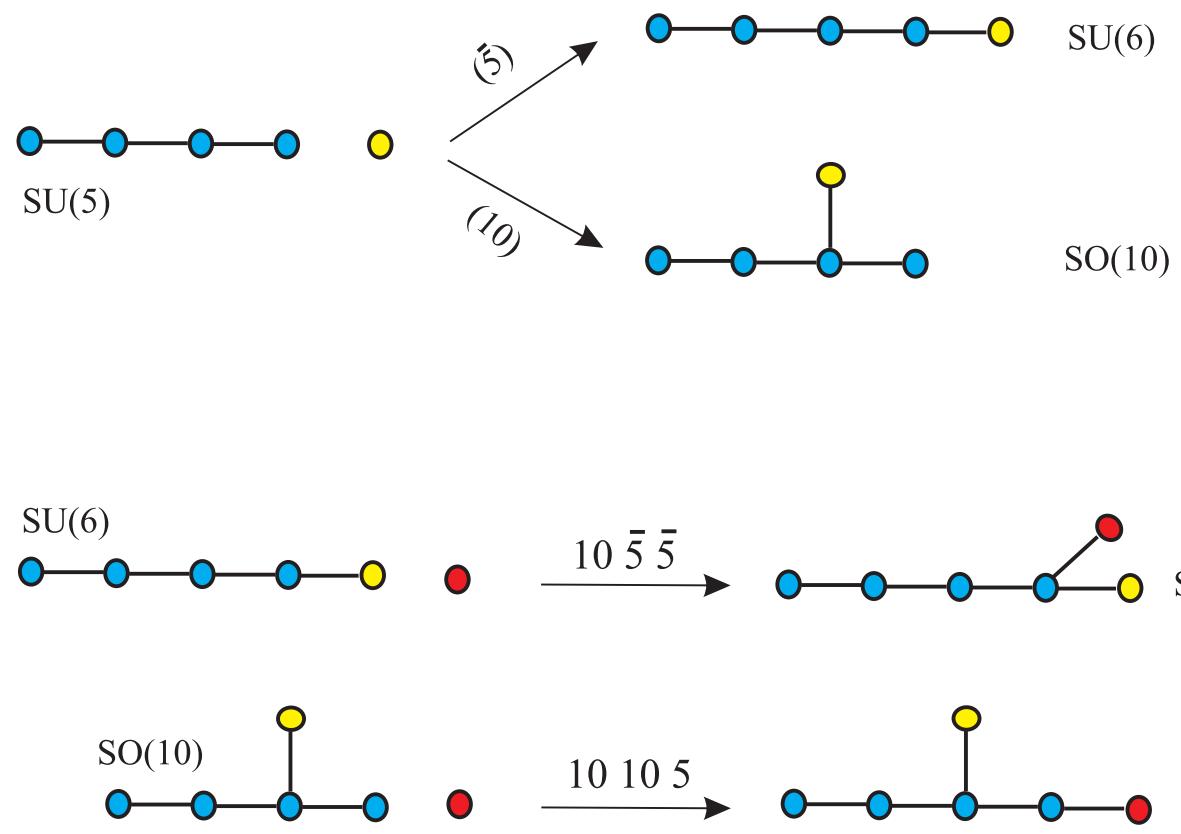
Along a matter curve  $\Sigma$  gauge symmetry is enhanced...

Yukawa couplings

Triple Intersection



gauge symmetry ... further ... enhanced!



$G_S = SU(5)$ : Singularity enhancement:

▲ Matter curves accommodating  $\bar{\mathbf{5}}$  are associated with  $SU(6)$

$$\Sigma_{\bar{5}} = \textcolor{red}{S} \cap \textcolor{blue}{S}_{\bar{5}} \Rightarrow SU(5) \rightarrow SU(6)$$

$$\text{ad}_{SU_6} = \textcolor{red}{35} \rightarrow 24_0 + 1_0 + \textcolor{red}{5}_6 + \bar{\mathbf{5}}_{-6}$$

▲ Matter curves accommodating  $\mathbf{10}$  are associated with  $SO(10)$

$$\Sigma_{10} = \textcolor{red}{S} \cap \textcolor{blue}{S}_{10} \Rightarrow SU(5) \rightarrow SO(10)$$

$$\text{ad}_{SO_{10}} = \textcolor{red}{45} \rightarrow 24_0 + 1_0 + \textcolor{red}{10}_4 + \overline{10}_{-4}$$

▲ Further enhancement in triple intersections → Yukawas:

$$SO(10) \equiv E_5 \Rightarrow E_6 \rightarrow \textcolor{green}{10} \cdot \textcolor{blue}{10} \cdot \textcolor{red}{5}$$

$$SU(6) \Rightarrow SO(12) \rightarrow \textcolor{green}{10} \cdot \bar{\mathbf{5}} \cdot \bar{\mathbf{5}}$$

## ★ Zero-modes Equations

Equs of motion from  $d = 8$  effective action



D.E.s for **zero modes**:

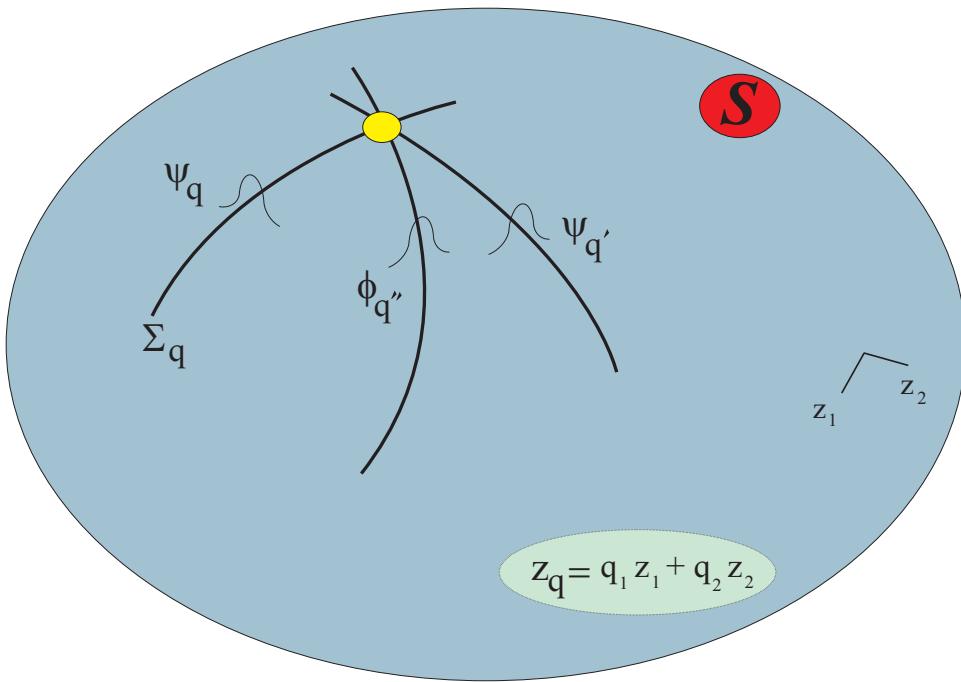
(*Font & Ibanez 2009, Heckman et al 2009* \*)

- **Solution:** Gaussian profile for zero mode wavefunction:

$$\psi \propto e^{\{-\textcolor{red}{m}^2 \textcolor{blue}{q} |\cos \theta z_1 + \sin \theta z_2|^2\}} \quad (1)$$

with  $q = \sqrt{q_1^2 + q_2^2}$  and  $\tan \theta = q_2/q_1$ .

(\* see also: *Aparicio, Font, Ibanez, Marchesano, 1104.2609*)



▲ Depiction of the wavefuctions  $\psi_i$  and  $\phi$  along the matter curves...

### Trilinear Yukawa coupling Integral:

► Computation in terms of overlapping wavefunction integrals

$$\lambda_{ij} = \frac{M_*^4}{(2\pi)^2} \int_S \psi_i \cdot \psi_j \cdot \phi \ dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2$$

Assuming :  $\left\{ \begin{array}{l} M_* \sim m \\ M_*^2 R^2 \sim a_G \end{array} \right\} \Rightarrow$

$$\Rightarrow \lambda_{ij} = \frac{4\sqrt{\pi a_G^{3/2}}}{q + q' + q''} \frac{(qq'q'')^{3/2}}{(q_1q'_2 - q'_1q_2)^2}$$

## Application to **F-SU(5)**★

$E_8 \rightarrow$  Highest symmetry in Elliptic Fibration:

$$E_8 \supset SU(5)_{GUT} \times U(5)_\perp \supset SU(5)_{GUT} \times \mathbf{U(1)}^4$$

**U(1)**'s  $\Rightarrow$  family symmetries

Some of the **U(1)**'s are related by monodromies  $\Rightarrow$

...identifying directions  $t_i$  in the  $SU(5)_\perp$  Cartan subalgebra:

$$Q_t = \text{diag}\{t_1, t_2, t_3, t_4, t_5\}$$

$t_i$  subject to traceless condition:  $t_1 + t_2 + t_3 + t_4 + t_5 = 0$ .

$Z_2$  monodromy ( $t_1 \leftrightarrow t_2$ ) gauge symmetry reduces to:

$$\mathbf{SU(5)} \times \mathbf{U(1)}^3$$

★*Dudas-Palti, 0912.0853; King, GKL, Ross, 1005.1025.*

## ▲Fermion Mass Textures▼

Two ways to obtain Fermion Mass Hierarchy in F-theory

▲▼ All families on the same curve(s) ( $\Sigma_{10}, \Sigma_{\bar{5}}$ )

Flux corrections  $\Rightarrow$  Hierarchy...

▲▼ Families assigned on different matter curves ( $\Sigma_{10}^{1,2,3}, \Sigma_{\bar{5}}^{1,2,3}$ )

Monodromy  $\rightarrow$  Rank one mass matrices at tree level.

Hierarchy organised by  $U(1)$ 's from underlying  $E_8$  via:

Singlet vevs  $\langle \theta_{ij} \rangle$

Field	$SU(5) \times SU(5)_\perp$ Rep.	$t_i$ direction	R-parity
$Q_3, U_3^c, l_3^c$	(10, 5)	$t_{1,2}$	–
$Q_2, U_2^c, l_2^c$	(10, 5)	$t_4$	–
$Q_1, U_1^c, l_1^c$	(10, 5)	$t_3$	–
$D_3^c, L_3$	(5̄, 10)	$t_{1,2} + t_4$	–
$D_2^c, L_2$	(5̄, 10)	$t_{1,2} + t_3$	–
$D_1^c, L_1$	(5̄, 10)	$t_3 + t_4$	–
$H_u$	(5, 10̄)	$-t_1 - t_2$	+
$H_d$	(5̄, 10)	$t_3 + t_5$	+
$\theta_{ij}$	(1, 24)	$t_i - t_j$	+
$\theta'_{ij}$	(1, 24)	$t_i - t_j$	–

Choice:  $\langle \theta_{14} \rangle \cdot \langle \theta_{43} \rangle \neq 0$

▲ Rank one Quark mass matrices (*Dudas-Palti, arXiv:0912.0853*)

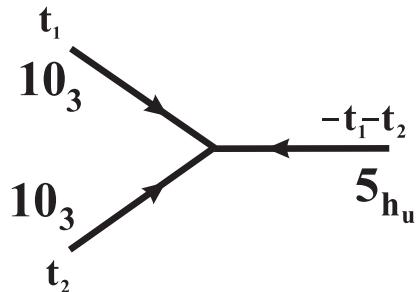
$$M_d = \begin{pmatrix} \lambda_{11}^d \theta_{14}^2 \theta_{43}^2 & \lambda_{12}^d \theta_{14} \theta_{43}^2 & \lambda_{13}^d \theta_{14} \theta_{43} \\ \lambda_{21}^d \theta_{14}^2 \theta_{43} & \lambda_{22}^d \theta_{14} \theta_{43} & \lambda_{23}^d \theta_{14} \\ \lambda_{31}^d \theta_{14} \theta_{43} & \lambda_{32}^d \theta_{43} & 1 \times \lambda_{33}^d \end{pmatrix} v_b, \quad (2)$$

$$M^u = \begin{pmatrix} \lambda_{11}^u \theta_{14}^2 \theta_{43}^2 & \lambda_{12}^u \theta_{14}^2 \theta_{43} & \lambda_{13}^u \theta_{14} \theta_{43} \\ \lambda_{21}^u \theta_{14}^2 \theta_{43} & \lambda_{22}^u \theta_{14}^2 & \lambda_{23}^u \theta_{14} \\ \lambda_{31}^u \theta_{14} \theta_{43} & \lambda_{32}^u \theta_{14} & 1 \times \lambda_{33}^u \end{pmatrix} v_u \quad (3)$$

▲  $\lambda_{ij}$  computed from overlapping integrals ... expected of  $\mathcal{O}(1)$ .

Are  $\lambda_{ij}$  really  $\sim \mathcal{O}(1)???$

**Computing  $\lambda_{33} \equiv \lambda_{top}$ :** Define ‘vector basis’:  $|t_i>_j = \delta_{ij}$   
 (i.e.  $|t_1| = \{1, 0, 0, 0, 0\}$ , etc)



Define Locally the set of orthonormal operators  $Q_i$ :

$$Q_1 = \frac{1}{\sqrt{30}}\{3, 3, -2, -2, -2\}$$

$$Q_2 = \frac{1}{\sqrt{2}}\{1, -1, 0, 0, 0\},$$

$$Q_3 = \frac{1}{\sqrt{2}}\{0, 0, 1, 0, -1\}$$

$$Q_4 = \frac{1}{2}\{0, 0, 1, -2, 1\}$$

Vertex states  $|t_{1,2}>$ ,  $| - t_1 - t_2 >$  of top coupling are annihilated by:

$$Q_{3,4} |t_{1,2}> = 0$$

while, acting by  $Q_{1,2}$ :

$$\{q_1, q_2\} = \left\{ \sqrt{\frac{3}{10}}, \frac{1}{\sqrt{2}} \right\}, \quad \{q'_1, q'_2\} = \left\{ \sqrt{\frac{3}{10}}, -\frac{1}{\sqrt{2}} \right\}$$

Substitution to the overlapping integral:

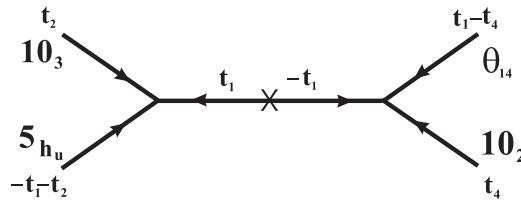
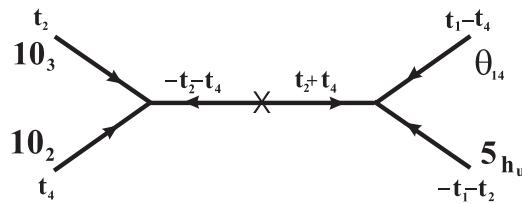
$$\lambda_{top} = 0.31 \times \left( \frac{a_G}{a_{G_0}} \right)^{\frac{3}{4}}, \quad a_{G_0} = \frac{1}{24}$$

## Computing higher dimensional couplings

Example:

$$\lambda_{23}^u \ 10_3 \ 10_2 \ 5_{h_u} \theta_{14} \rightarrow m_{23} Q_2 u_3^c$$

with exchange of massive messenger states



KK-mode wavefunction:

$$\psi_1 \sim f e^{-q_1 m^2 \xi |z_1|^2}, \quad \xi < 1$$

◆ **Left** vertex of  $U_{23}$ -graphs:

$$10_i 10_j 5_{KK} : \{t_i\}_{0-mode} + \{t_j\}_{0-mode} \rightarrow \{-t_i - t_j\}_{KK}$$

Calculation of the overlapping integral:

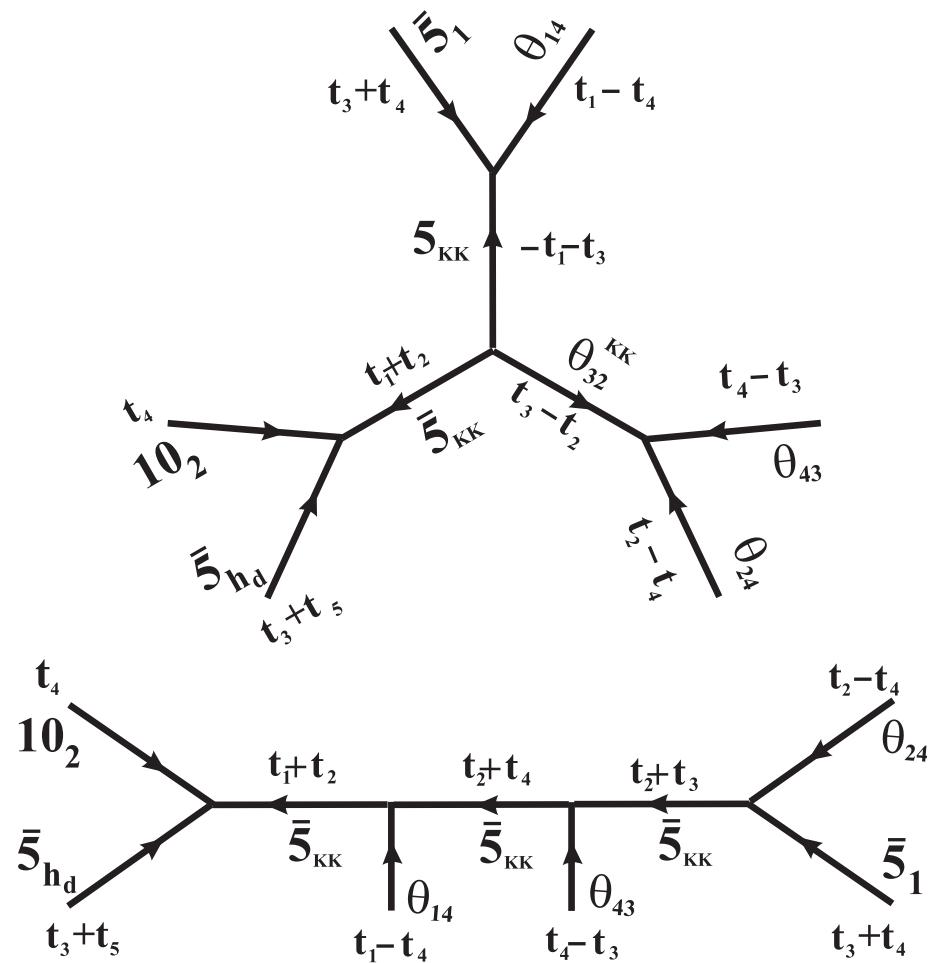
$$I_a(\xi) = \frac{8\sqrt{2\pi}\xi}{3 \cdot 5^{3/4} (4\xi + \sqrt{6})}$$

◆ **Right** vertices ( $\overline{10} \cdot 10 \cdot 1$  and  $\overline{5} \cdot 5 \cdot 1$ ) :

$$I_x(\xi) = \frac{8\sqrt{10\pi}\xi}{3 \cdot 3^{3/4} (4\sqrt{5}\xi + 5\sqrt{2})}, \quad I_y = \frac{2 \cdot 3^{3/4} \sqrt{10\pi} \sqrt{\xi}}{7 ((5 + \sqrt{15})\xi + \sqrt{15})}$$

$U_{23}$  - Yukawa Coupling:

$$\lambda_{23}^u(\xi) = I_a(\xi) \cdot (I_x(\xi) + I_y(\xi))$$



Representative graphs for  $\lambda_{21}^b$  Yukawa coupling.

**Results:** (simplified case  $\xi = 1$ )

$$M_d = \begin{pmatrix} 0.12 \theta_{14}^2 \theta_{43}^2 & 0.11 \theta_{14} \theta_{43}^2 & 0.18 \theta_{14} \theta_{43} \\ 0.14 \theta_{14}^2 \theta_{43} & 0.16 \theta_{14} \theta_{43} & 0.20 \theta_{14} \\ 0.09 \theta_{14} \theta_{43} & 0.17 \theta_{43} & 0.29 \end{pmatrix}$$

$$M_u = \begin{pmatrix} 0.09 \theta_{14}^2 \theta_{43}^2 & 0.22 \theta_{14}^2 \theta_{43} & 0.16 \theta_{14} \theta_{43} \\ 0.22 \theta_{14}^2 \theta_{43} & 0.18 \theta_{14}^2 & 0.22 \theta_{14} \\ 0.16 \theta_{14} \theta_{43} & 0.22 \theta_{14} & 0.31 \end{pmatrix}$$

For  $\langle \theta_{43} \rangle \sim \frac{1}{2}$ ,  $\langle \theta_{14} \rangle \sim \frac{1}{10} \rightarrow$

**Reasonable hierarchy and CKM-mixing**

▲ Charged Leptons ▲

Major problem in  $SU(5)$ : Wrong mass relations:

$$m_s = m_\mu \text{ & } m_d = m_e \quad \text{at } M_{GUT}$$

**SOLUTION:**

▲ Splitting of  $SU(5)$ -reps via the **FLUX mechanism**

Two types of fluxes:

▲  $M_{10}, M_5$ : connected to  $U(1)$ 's  $\in SU(5)_\perp$ : determine the chirality of complete  $10, 5 \in SU(5)$ .

▲  $N_Y$ : related to Cartan generators of  $SU(5)_{GUT}$ . They are taken along  $U(1)_Y \in SU(5)_{GUT}$  and **split**  $SU(5)$ -reps.

$U(1)_\perp$ -Flux on SM reps  $\in \mathbf{10}$ 's:

$$n_{(3,2)\frac{1}{6}} - n_{(\bar{3},2)-\frac{1}{6}} = M_{10} \quad (4)$$

$$n_{(\bar{3},1)-\frac{2}{3}} - n_{(3,1)\frac{2}{3}} = M_{10}$$

$$n_{(1,1)_1} - n_{(1,1)-1} = M_{10} \quad (5)$$

$U(1)_\perp$ -Flux on SM reps  $\in \mathbf{5}$ 's:

$$n_{(3,1)-\frac{1}{3}} - n_{(\bar{3},1)\frac{1}{3}} = M_5 \quad (6)$$

$$n_{(1,2)\frac{1}{2}} - n_{(1,2)-\frac{1}{2}} = M_5$$

(...subject to:  $\sum_i M_{10}^i + \sum_j M_5^j = 0$ )

$U(1)_Y$ -**Flux**-splitting of **10**'s:

$$n_{(3,2)\frac{1}{6}} - n_{(\bar{3},2)-\frac{1}{6}} = M_{10}$$

$$n_{(\bar{3},1)-\frac{2}{3}} - n_{(3,1)\frac{2}{3}} = M_{10} - \mathbf{N_Y}$$

$$n_{(1,1)_1} - n_{(1,1)_{-1}} = M_{10} + \mathbf{N_Y}$$

$U(1)_Y$ - **Flux**-splitting of **5**'s:

$$n_{(3,1)-\frac{1}{3}} - n_{(\bar{3},1)\frac{1}{3}} = M_5$$

$$n_{(1,2)\frac{1}{2}} - n_{(1,2)-\frac{1}{2}} = M_5 + \mathbf{N_Y}$$

*Application:* Choice of  $M_{10_i}, M_{5_j}$  so that:

$$\text{matter curve } 10^{(3)} : \rightarrow Q_2 + u_2^c \equiv \begin{pmatrix} c \\ s \end{pmatrix} + c^c$$

$$\text{matter curve } 10^{(4)} : \rightarrow e_2^c \equiv \mu^c$$

(...plus extra vector-like  $u^c + \bar{u}^c$ )

$$\Rightarrow M_{\ell e p t o n} \neq M_{d o w n}$$

$$M_\ell = \begin{pmatrix} \theta_{14}^2 \theta_{43}^2 & \theta_{15} \theta_{14} \theta_{43} & \theta_{14} \theta_{43} \\ \theta_{14}^2 \theta_{43} & \theta_{15} \theta_{43} & \theta_{14} \\ \theta_{14} \theta_{43} & \theta_{15} & 0.295 \end{pmatrix}$$

$\rightarrow m_\mu \sim 3 \cdot m_s!$ ,  $m_d \sim m_e?$ ...

## ▲ Neutrinos ▲

$Z_2$ -monodromy  $\theta'_{12} \leftrightarrow \theta'_{21} \Rightarrow$

$\theta'_{12}$  = Right-Handed Majorana Neutrino

( Bouchard et al, 0904.1419)

Assume also:

$\theta'_{14}, \theta'_{41}, \theta'_{14}, \theta'_{41}$

States are

$$\nu_{@} \approx \frac{1}{\sqrt{2}} \left[ \nu_3 - \nu_2 + \sqrt{2} \langle \theta_{14} \rangle (\langle \theta_{43} \rangle - 1) \nu_1 \right]$$

$$\nu_{\odot} \approx \frac{1}{N} \left[ \nu_3 + \nu_2 + \sqrt{2} \langle \theta_{14} \rangle (1 + \langle \theta_{43} \rangle) \nu_1 \right]$$

Parameters can be adjusted to give bi-tri maximal mixing.

## SUMMARY

1. F-theory offers new insights in **Yukawa textures**
2. **Matter** localised on “curves” in **Internal Geometry**  
**Chirality** related to **topology** and to the internal **flux**
3. Wave functions **localised** along these “matter curves”
4. Yukawa couplings, at **triple intersections**
5. **Fermion mass textures** completely determined from
  - a)  $\lambda(q, a_G)$  and,
  - b) familon vevs  $\langle \theta_{ij} \rangle$
6. **Flux** mechanism:
  - i)  $m_\mu - m_s$  splitting at  $M_{GUT}$
  - ii) Doublet-Triplet splitting (*suppressing Proton Decay...*)